



**Foreign Tax Reporting Individual Form (Self Certification)**

**Instructions for an Individual**

International legislative obligations require, First National Bank of Botswana Limited to collect and report certain information about client's tax status with supporting information. If you are required to pay tax or are tax resident outside of the country, First National Bank of Botswana Limited is legally obliged to pass on the information to the relevant tax authority.

1. Please ensure that all fields of this document are completed
2. Additional Documents may be required if the client has any association to the United States (US). If these forms are applicable the relationship cannot be established with the Bank without receipt of the completed IRS form:
  - a. W-9 - If you were born in the US or hold US citizenship or nationality, an IRS Form W-9 must be completed in addition to this form (found on the IRS website, www.irs.gov) and you must provide your US tax number (TIN) to the Bank.
  - b. W-8 – certification for Non-US persons who had previous links to the US
3. First National Bank Botswana will not give tax advice, if you have any questions about this form or defining your tax residency status, please speak to your tax advisor or local tax authority. You can also find out more at the OECD automatic exchange of information portal: [www.oecd.org/tax/transparency/automaticexchangeofinformation.htm](http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm).

Client Details – Name and Surname

Self-Certification		
<b>Please answer the following questions relating to the individual specified below.</b>		
1) Are you a U.S. Citizen or Resident? <i>(If yes, a W9 is required or if the W8 is provided a declaration of loss of citizenship must be obtained.)</i>	<b>YES</b>	<b>NO</b>
2) Is your country of birth the U.S.? <i>(If yes, a W9 is required or if the W8 is provided a declaration of loss of citizenship must be obtained.)</i>	<b>YES</b>	<b>NO</b>
3) Do you have a U.S. address? <i>(If yes and you are not a US person, a W8 is required; alternatively a W-9 is required)</i>	<b>YES</b>	<b>NO</b>
4) Do you have a U.S. telephone number? <i>(If yes and you are not a US person, a W8 is required; alternatively a W-9 is required)</i>	<b>YES</b>	<b>NO</b>
5) Does a U.S person have a Power of Attorney over your account? <i>(If yes and he/she is not a US person, a W8 is required; alternatively a W9 is required)</i>	<b>YES</b>	<b>NO</b>
6) Do you have or do you intend to transfer funds to the U.S on a regular basis? <i>(For example, more than 5 transactions per annum)</i> <i>(If yes and you are not a US person, a W8 is required; alternatively a W-9 is required)</i>	<b>YES</b>	<b>NO</b>
7) Are you a permanent resident of Botswana?	<b>YES</b>	<b>NO</b>
a. If not, what country are you a permanent resident of?		
8) Are you required or registered to file a tax return or pay taxes in another country?	<b>YES</b>	<b>NO</b>
a. If yes please indicate all jurisdictions in which you are registered for tax, obliged to pay tax and/or required to submit a tax return		
Tax Identification Number	Country of Issue	



## Declaration and Acceptance

1. First National Bank of Botswana Limited [(“we/the Company”)] and FirstRand Bank Limited (“FirstRand Bank”) are members of the FirstRand Limited (“FirstRand”) group of companies. FirstRand and FirstRand Bank are incorporated in the Republic of South Africa (“RSA”). RSA has entered into a Model I inter-governmental agreement with the United States of America (the “US”) under the foreign account tax compliance provisions (“FATCA”) of the US Internal Revenue Code. The US Treasury Department has issued regulations under FATCA, which are amended from time to time (the “FATCA Regulations”). The inter-governmental agreement between the RSA and the US, FATCA and the FACTA Regulations are hereinafter collectively referred to as the “FATCA Rules”.
2. Botswana has not entered into an inter-governmental agreement with the USA under FATCA. In terms of the FATCA Rules, FirstRand Bank is registered as a Deemed Compliant Reporting Model I Foreign Financial Institution (“FFI”) and FirstRand and FirstRand Bank have certain obligations to ensure that [we/the Company] comply[ies] with the FACTA Rules.
3. In terms of the FATCA Rules, [we/the Company] [have/has] entered into a participating FFI agreement with the US Internal Revenue Services (“IRS”), thereby qualifying as a Participating FFI. For purposes hereof and if the context requires it, the FATCA Rules will include the terms of the participating FFI agreement.
4. Under the FATCA Rules, [we/the Company] may be required to report certain information, including to the IRS. The FACTA Rules may also require [us/the Company] to withhold certain payments, to put a hold on or close accounts, or to transfer accounts to another financial institution. Also, other financial institutions may withhold certain payments made to [us/the Company] or our clients. For purposes hereof, we/the Company require[s] your agreement to the extent it is required and permitted under the laws of Botswana.
5. Consequently, [you/the client] agree(s) to provide [us/the Company] with any information required for purposes of complying with the FATCA Rules. [You/the client] agree(s) that the Company may disclose or report [your/his/her] information, or any information pertaining to [you/him/her] to the IRS or any other party, in any jurisdiction, or to otherwise keep, use or process such information, for purposes of complying with the FATCA Rules from time to time as [we/the Company] may reasonably determine in [our/its] sole discretion.
6. You/the client agree(s) that the Company may (i) withhold any payment, or part thereof, from you/the Client, whether such payment has already been credited to [your/the Client’s] account or not, or debit your/the Client’s account with any amount, and if required pay such amounts over the IRS or its agents; and/or (ii) to put a hold on or close [your/the Client’s] account or to transfer [your/the Client’s] account to another financial institution; as may be required in terms of the FATCA Rules from time to time as the Company may reasonably determine in its sole discretion.
7. You/the Client acknowledge[s] that the FATCA Rules may result in third parties, e.g. withholding agents under the FACTA Rules, withholding certain payments, or part thereof, from [us/the Company] or from [you/the client].
8. You/the Client waive(s) any claims that [you/he/she] may have against [us/the Company] or any other members of the FirstRand group of companies, or their employees, officials and directors, as a result of any action, omissions or other circumstances contemplated in paragraphs 5, 6 and 7 above, or otherwise as a result of adherence with the FATCA Rules. You/the Client further indemnify(ies) [us/the Company] and all other members of the FirstRand group of companies, their employees, officials and directors against any damages, claims, losses or otherwise, as a result of any actions, omissions or other circumstances contemplated in paragraphs 5, 6 and 7 above, or otherwise as a result of adherence to the FATCA Rules.



By signing this form, I declare that:

1. I/we confirm that all information and declarations provided by me in this form and all supporting documentation in connection with this form are true, correct and accurate in every respect and undertake to inform the Bank of any changes thereto that may impact my legal status as a Client of First National Bank of Botswana Limited
2. The General terms and conditions relating to my relationship with the Bank will apply and I have read and understood same.
3. I hereby confirm that I hold no other residencies for tax purposes other than those disclosed above in this form and will inform the Bank in writing of any change of this status within 30 (thirty) days of the change of the disclosed status.
4. I hereby indemnify First National Bank of Botswana Limited against any liability for any loss or damage suffered by me as a result of inaccurate or incomplete information contained herein.

<b>Client Details</b>	
<b>Name and Surname:</b>	
<b>ID / Passport Number:</b>	
<b>Signature:</b>	<b>Date:</b>
<b><u>For Internal Bank Use Only</u></b>	
<b>Unique Customer Number (UCN):</b>	
<b>Foreign Tax Indicator Status:</b>	